

Atty Docket No. 015358-006710US

PTO FAX NO.: 703-746-7240

ATTENTION: Customer Service, Initial Patent Examination

Group Art Unit: 2171

TELEPHONE NO.: 703-306-5631

**OFFICIAL COMMUNICATION
REPLY TO NOTICE TO FILE MISSING PARTS
FOR ENTRY IN U.S. APPLICATION NO. 10/054,749
FILED JANUARY 18, 2002**

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of DAVID G. STORK et al., U.S. Application No. 10/054,749, filed January 18, 2002 for METHOD AND APPARATUS FOR PERMISSIONS BASED ACTIVE DOCUMENT WORKFLOW are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Document(s) Attached

1. SB/21 Transmittal (1 page)
2. SB/17 Fee Transmittal (in duplicate) (2 pages)
3. Declaration and Power of Attorney (2 pages)
4. Supplemental Application Data Sheet (4 pages)
5. Copy of Notice of Missing Parts (1 page)

Number of pages being transmitted, including this page: 11

Dated: April 5, 2002


Krista K. Merrimac

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0831-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/054,749	
	Filing Date	January 18, 2002	
	First Named Inventor	Stark, David G.	
	Group Art Unit	2171	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	015358-006710US

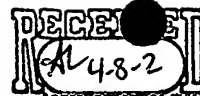
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration and Power of Attorney, Supplemental Application Data Sheet, Copy of Notice to File Missing Parts, Certification of Facsimile Coversheet
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated February 7, 2002, the enclosures listed above are to be made of record in the above-identified case. The fees required are listed on the attached Fee Transmittal to be deducted from our Deposit Account listed above.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Sujit B. Kotwal Reg. No. 43,336
Signature	S. B. Kotwal
Date	April 5, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-7240 on April 5, 2002	
Typed or printed name	Krista K. Merrimac
Signature	<i>Krista K. Merrimac</i>
Date	April 5, 2002

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Complete if Known

Application Number	10/054,749
Filing Date	January 18, 2002
First Named Inventor	Stork, David G.
Examiner Name	To Be Assigned
Group Art Unit	2171
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TOTAL AMOUNT OF PAYMENT (\$) 1950

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>130</td> </tr> <tr> <td>127</td> <td>60</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>820</td> <td>217</td> <td>480</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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late filing fee or oath	130	127	60	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	820	217	480	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or release)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		125	180	125	180	Submission of Information Disclosure Sheet		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		148	740	248	370	For each additional invention to be examined (37 CFR § 1.128(b))		179	740	279	370	Request for Continued Examination (RCE)		189	900	189	900	Request for expedited examination of a design application	
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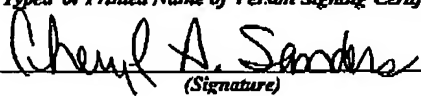
**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete if applicable			
Name (Print/Type)	Sigit B. Kotwal	Registration No. (Attorney/Agent)	43,336	Telephone	650-328-2400
Signature	S. B. Kotwal	Date	April 5, 2002		

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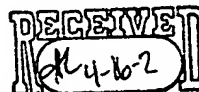
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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)		Docket No. CDUS.0007
Applicant(s): John J. Kendrick, Jr., et al.		
Serial No. 10/011,647	Filing Date December 4, 2001	Examiner Group Art Unit 2151
Invention: <p style="text-align: center;">A Process for Storing Electronic Documents on an Internet-Accessible Document Storage System</p>		
<p>I hereby certify that this _____ Power of Attorney _____ <i>(Identify type of correspondence)</i></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-746-7239</u>)</p> <p>on <u>April 15, 2002</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 100px;"> <p>_____ Cheryl A. Sanders <i>(Typed or Printed Name of Person Signing Certificate)</i></p> <p>_____  <i>(Signature)</i></p> </div>		
<p>Note: Each paper must have its own certificate of mailing.</p>		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
POWER OF ATTORNEY

OfficialDocket No.
CDUS.0007

Name of Applicant: **ControlDocs Operating Corp.**
Address of Applicant: **16650 Westgrove Drive, Suite 400**
Addison, TX 75001



Title: **A Process for Storing Electronic Documents on an Internet-Accessible Document Storage System**
Serial No., if Any: **10/011647**
Filed: **December 4, 2001**

TO THE ASSISTANT COMMISSIONER FOR PATENTS

The Assistant Commissioner for Patents
Washington, D.C. 20231

Honorable Sir:
I hereby appoint:

Timothy F. Loomis, Reg. No. 37,383

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Timothy F. Loomis
Law Offices of Timothy F. Loomis
2932 Hagen Drive
Plano, TX 75025
(972) 747-0706; (972) 747-9996 Fax

By: 

Robert N. Higbee
CEO

Dated: 4-04-02



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,749	01/18/2002	David G. Stork	015358-006710US

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 4058

FORMALITIES LETTER



OC00000007434954

Date Mailed: 02/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1080.
 - \$828 for 46 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1950.

05/09/2002 VTRUONG1 00000007 201430

A copy of this notice **MUST** be returned with the reply.

01 FC:105 130.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

05/08/2002 VTRUONG1 00000018 201430

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101 740.00 CH

02 FC:102 252.00 CH

03 FC:103 828.00 CH